

$A_4$  flavour symmetry breaking  
scheme for understanding quark  
and neutrino mixing angles

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## Motivation

Motivations:

- The explanation of flavour is one of the most profound goals in the construction of standard model (SM) extensions.
- There are several aspects to the overall puzzle:
  - Why three families of quarks and leptons are?
  - Why the specific mixing patterns was observed?
  - Can we understand quark and lepton mass eigenvalues?
  - Why are neutrinos so light?
- A priori, it is not clear if these aspects of the flavour problem should be treated organically, or they can be solved piecemeal.
- In this talk, we want to discuss that the observed mixing angle patterns suggest an underlying flavour symmetry breaking structure based on the discrete group  $A_4$ , while also incorporating the see-saw explanation for why neutrinos are especially light.

## Mixing Matrices in SM.

- 3 families of quarks and leptons
  - $Q_i = (u_i, d_i), u_i^c, d_i^c;$
  - $L_i = (\nu_i, l_i), l_i^c, [i = 1, 2, 3]$
  - ( Notation: all fermions are left handed )
- one scalar Higgs doublet

$$\Phi = (\phi^+, \phi^0) \quad (1)$$

- Allowed Yukawa couplings under  $SU(2)_L \times U(1)_Y$  gauge symmetry:
  - $h_{ij}^u (d_i \phi^+ + u_i \phi^0) u_j^c ;$
  - $h_{ij}^d (d_i \bar{\phi}^0 - u_i \phi^-) d_j^c ;$
  - $f_{ij} (l_i \bar{\phi}^0 - \nu_i \phi^-) l_j^c .$
- Let  $\langle \phi^0 \rangle = v$ , then

$$\mathcal{M}_u = h_{ij}^u v = V_L^u \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_c & 0 \\ 0 & 0 & m_t \end{pmatrix} (V_R^u)^\dagger, \quad (2)$$

$$\mathcal{M}_d = h_{ij}^d v^* = V_L^d \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix} (V_R^d)^\dagger. \quad (3)$$

## Mixing Matrices

The observed quark mixing matrix is

$$V_{CKM} = (V_L^u)^\dagger V_L^d \simeq \begin{pmatrix} 0.976 & 0.22 & 0.003 \\ -0.22 & 0.98 & 0.04 \\ 0.007 & -0.04 & 1 \end{pmatrix} \simeq \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (4)$$

Similarly,

$$\mathcal{M}_l = f_{ij} v^* = U_L^l \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix} (U_R^l)^\dagger, \quad (5)$$

whereas the neutrino mass matrix is either

(A) Dirac case,

$$(A) : \mathcal{M}_\nu^D = U_L^\nu \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} (U_R^\nu)^\dagger, \quad (6)$$

or (B) Majorana case,

$$(B) : \mathcal{M}_\nu^M = U_L^\nu \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} (U_L^\nu)^T. \quad (7)$$

## Neutrino-Mixing

Flavor eigenstate need not be Mass eigenstate:

e.g.

$$\begin{array}{lcl}
 |\nu_e\rangle & = & \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle \\
 \uparrow & & \uparrow \qquad \qquad \uparrow \\
 \text{neutrinos} & & e^{iE_1 t} \qquad \qquad e^{i(p+\frac{m_2^2}{2p})t} \\
 \text{associated} & & = e^{i\sqrt{p^2+m_1^2}t} \\
 \text{with } e^- & & \simeq e^{i(p+\frac{m_1^2}{2p})t}
 \end{array}$$

Probability that it remains  $\nu_e$  after time  $t$ ;

$$\begin{aligned}
 P(\nu_e \rightarrow \nu_e) &= | \langle \nu_e | \nu_e(t) \rangle |^2 \\
 &= | (\cos\theta \langle \nu_1 | + \sin\theta \langle \nu_2 |) (\cos\theta |\nu_1\rangle + \sin\theta e^{i(\frac{m_2^2-m_1^2}{2p})t} |\nu_2\rangle) |^2 \\
 &= | \cos^2\theta + \sin^2\theta e^{i\frac{\delta m_{21}^2}{2p}t} |^2
 \end{aligned} \tag{8}$$

Evidently, experiments can only determine  $\delta m_{21}^2 \equiv m_2^2 - m_1^2$  and  $\theta$ ,

but 'NOT'  $m_2^2$  nad  $m_1^2$ , separately

Just as for charged leptons (& for quarks) there appears to be hierarchy:

$$\begin{aligned}
 \Delta m_{sol}^2 = m_2^2 - m_1^2 &\sim 8 \cdot 10^{-5} eV^2 \quad \Leftarrow \text{sign determination by MSW effect} \\
 \Delta m_{atm}^2 = m_3^2 - m_2^2 &\sim \pm 2.4 \cdot 10^{-3} eV^2
 \end{aligned} \tag{9}$$

The observed lepton mixing matrix is

$$U_{MNS} = (U_L^l)^\dagger U_L^\nu \simeq \begin{pmatrix} 0.85 & 0.52 & 0.053 \\ -0.33 & 0.62 & -0.72 \\ -0.40 & 0.59 & 0.70 \end{pmatrix} \simeq \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}. \quad (10)$$

It is clear that  $U_{MNS}$  is very different from  $V_{CKM}$  and the study of non-Abelian discrete family symmetries may help us understand why.

## Simple ideas in search of a model

- Tribimaximal mixing:

Assumption: there are no light sterile neutrinos, and the LSND anomaly has a non-oscillation explanation.

The solar, atmospheric, reactor and accelerator neutrino data allow a simple form for the NMSP matrix: tribimaximal mixing;

$$U_{MNSP} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}. \quad (11)$$

A special case of bi-large mixing.

Harrison, Perkins and Scott; He and Zee; Low and Volkas; others  
Precursor: Wolfenstein(late 70's)

The matrix elements are square roots of simple fractions.

**Is there a gauge model using flavour symmetry that produces this nice, simple mixing matrix?**

But the CKM matrix is not similarly nice! Is tribimaximal mixing just a leptonic mirage?

$$\text{But } U_{CKM} \simeq \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (12)$$

Maybe a symmetry induces tribimaximal NMSP and diagonal CKM, then small breaking of that symmetry generates the off-diagonal CKM elements while also distorting NMSP from exact tribimaximal form, making e.g.  $\theta_{13} \neq 0$ ?

## Exact flavour Symmetry

- Exact flavour symmetries need not constrain mass eigenvalues:  
Harrison, Perkins and Scott introduce mass matrices of “circulant” form:

$$M_{circ} = \begin{pmatrix} a & b & c \\ c & a & b \\ b & c & a \end{pmatrix} \quad (13)$$

For definiteness, let's say this is for charged leptons

$$l = (e, \mu, \tau) : \bar{l}_L M_{circ} l_R + H.C.$$

- Three arbitrary mass parameters: a, b and c.
- Row 2 is cyclic perm of row 1; row 3 is cyclic perm of row 2.
- Cyclic permutation symmetry  $C_3$  of  $(e, \mu, \tau)_{L,R}$  produces a circulant mass matrix.
- The mass eigenvalues are arbitrary.

But ... circulant matrices are diagonalised by matrices containing certain specific numbers, independent of a, b, c, and hence also the mass eigenvalues:

$$M_{circ} M_{circ}^\dagger = M_{circ}^\dagger M_{circ} = \begin{pmatrix} \alpha & \beta & \beta^* \\ \beta^* & \alpha & \beta \\ \beta & \beta^* & \alpha \end{pmatrix} \quad (14)$$

implies the unitary left=right diagonalisation matrices

$$U_L = U_R = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^* \\ 1 & \omega^* & \omega \end{pmatrix} \quad (15)$$

where  $\omega \equiv e^{i2\pi/3}$ .

**These are “trimaximal” mixing matrices.**

- “Form-diagonalisable” matrices:

Circulant matrices are of “form-diagonalisable” type: the diagonalisable matrices care only about the **form** of the mass matrix, not the specific **values** of the parameters.

Orthogonal idea to relating mixing angles to mass ratios.

The simplest example:

$$\begin{pmatrix} a & b \\ b & a \end{pmatrix} \quad (16)$$

gives twofold maximal mixing, as used in the mirror matter model for pairwise active-mirror neutrino mixing.

The Harrison-Perkins-Scott way to get tribimaximal mixing:

$$M_l = \begin{pmatrix} a & b & c \\ c & a & b \\ b & c & a \end{pmatrix} \quad M_\nu = \begin{pmatrix} x & 0 & y \\ 0 & z & 0 \\ y & 0 & x \end{pmatrix} \quad (17)$$

- $C_3$  symmetry for charged leptons
- $Z_2 \otimes Z_2$ :  $\nu_e \leftrightarrow \nu_\tau$ ,  $\nu_\mu \leftrightarrow -\nu_\mu$  for neutrinos
- Matrices form-diagonalisable; masses arbitrary
- The trimaximal charged-lepton and the  $\nu_e/\nu_\tau$  twofold-maximal left-diagonalisation matrices to give tribimaximal MNSP(with phases).

## Our ideas

We extended their idea as follows:

- Suppose all charged fermions have circulant mass matrices.
- Neutrinos have the different form from the other three because  $\nu$  mass generation is different, e.g. Majorana mass term are there.
- In the limit of these flavour symmetries, MNSP is tribimaximal and CKM is diagonal.
- The angles in the diagonalisation matrices are generally 3-fold or 2-fold maximal. They cancel exactly in forming CKM because of the common 3-fold cyclic symmetry. They do not in MNSP because the electrically neutral neutrinos have a different flavour symmetry.
- After symmetry breaking, deviations from diagonal CKM and tribimaximal MNSP then arise from corrections.

**We show how this conjecture can be realised in the  $A_4$  symmetry scheme.**

## The scheme and tree-level results

The symmetry group of our scheme is  $G \otimes X$  (auxilliary sym.), where

$$G = SU(3)_c \otimes SU(2)_L \otimes U(1)_Y \otimes A_4, \quad (18)$$

The three families of quarks and leptons are placed in the following representations of  $G$ :

$$\begin{aligned} Q_L &\sim \left(3, 2, \frac{1}{3}\right) (\underline{\mathbf{3}}) & \ell_L &\sim (1, 2, -1) (\underline{\mathbf{3}}) \\ u_R \oplus u'_R \oplus u''_R &\sim \left(3, 1, \frac{4}{3}\right) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'') & \nu_R &\sim (1, 1, 0) (\underline{\mathbf{3}}) \\ d_R \oplus d'_R \oplus d''_R &\sim \left(3, 1, -\frac{2}{3}\right) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'') & e_R \oplus e'_R \oplus e''_R &\sim (1, 1, -2) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'') \end{aligned} \quad (19)$$

The Higgs field assignments are

$$\Phi \sim (1, 2, -1) (\underline{\mathbf{3}}), \quad \phi \sim (1, 2, -1) (\underline{\mathbf{1}}), \quad \chi \sim (1, 1, 0) (\underline{\mathbf{3}}). \quad (20)$$

The additional symmetry  $U(1)_X$ :

$\ell_L, e_R, e'_R, e''_R$  and  $\phi$  carry  $X = 1$ , while all other fields have  $X = 0$ .

This non-flavour symmetry ensures that the  $G_{SM} \otimes A_4$  invariant Yukawa term  $\bar{\ell}_L \nu_R \Phi$  is absent from the Lagrangian. This additional symmetry prevent the unwanted Yukawa term.

The  $G \otimes X$  invariant Yukawa Lagrangian is

$$\begin{aligned}
\mathcal{L}_{\text{Yuk}} = & \lambda_u (\bar{Q}_L \Phi)_{\underline{\mathbf{1}}} u_R + \lambda'_u (\bar{Q}_L \Phi)_{\underline{\mathbf{1}}'} u''_R + \lambda''_u (\bar{Q}_L \Phi)_{\underline{\mathbf{1}}''} u'_R + \\
& + \lambda_d (\bar{Q}_L \tilde{\Phi})_{\underline{\mathbf{1}}} d_R + \lambda'_d (\bar{Q}_L \tilde{\Phi})_{\underline{\mathbf{1}}'} d''_R + \lambda''_d (\bar{Q}_L \tilde{\Phi})_{\underline{\mathbf{1}}''} d'_R + \\
& + \lambda_\nu (\bar{\ell}_L \nu_R)_{\underline{\mathbf{1}}} \phi + M [\bar{\nu}_R (\nu_R)^c]_{\underline{\mathbf{1}}} + \lambda_\chi [\bar{\nu}_R (\nu_R)^c]_{\underline{\mathbf{3}}_s} \cdot \chi + \\
& + \lambda_e (\bar{\ell}_L \tilde{\Phi})_{\underline{\mathbf{1}}} e_R + \lambda'_e (\bar{\ell}_L \tilde{\Phi})_{\underline{\mathbf{1}}'} e''_R + \lambda''_e (\bar{\ell}_L \tilde{\Phi})_{\underline{\mathbf{1}}''} e'_R + h.c. \quad (21)
\end{aligned}$$

where  $\tilde{\Phi} \equiv i\tau_2 \Phi^*$ .

Only twelve parameters describe the masses and mixings of nine Dirac and six Majorana fermions.

When writing out the charged-fermion  $f = u, d, e$  Yukawa invariants explicitly, each of the three mass matrix terms has the form:

$$\left( \bar{f}_{1L}, \bar{f}_{2L}, \bar{f}_{3L} \right) \begin{pmatrix} \lambda v_1 & \lambda' v_1 & \lambda'' v_1 \\ \lambda v_2 & \omega \lambda' v_2 & \omega^2 \lambda'' v_2 \\ \lambda v_3 & \omega^2 \lambda' v_3 & \omega \lambda'' v_3 \end{pmatrix} \begin{pmatrix} f_R \\ f''_R \\ f'_R \end{pmatrix} + h.c. \quad (22)$$

where  $\langle \Phi^0 \rangle = (v_1, v_2, v_3)$  is the vacuum expectation value (VEV) pattern for  $\Phi$ , the  $v_i$  are taken to be relatively real, and the  $\lambda$ 's have a suppressed subscript  $f$ . The numerical subscripts 1, 2, 3 denote  $A_4$  components.

For the special VEV pattern

$$v_1 = v_2 = v_3 \equiv v \quad (23)$$

each of these mass matrices  $M_f$  factorises as per

$$M_f = U(\omega) \begin{pmatrix} \sqrt{3}\lambda_f v & 0 & 0 \\ 0 & \sqrt{3}\lambda'_f v & 0 \\ 0 & 0 & \sqrt{3}\lambda''_f v \end{pmatrix}, \quad (24)$$

so that the left-diagonalisation matrices  $V_L^{u,d,e}$  are identical and equal to the unitary “trimaximal mixing matrix”

$$U(\omega) = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{pmatrix}. \quad (25)$$

Notice that all nine mass eigenvalues are a priori arbitrary, despite the totally prescribed diagonalisation matrices. This is an example of “form diagonalisability”.

The process here is a complete contrast to the popular strategy of relating mixing angles to mass ratios.

One immediately finds that, at this order, the chosen  $A_4$  structure of the field content and the  $\langle \Phi \rangle$  vacuum forces the CKM matrix to be the identity:

$$V_{CKM} = V_L^{d\dagger} V_L^u = U(\omega)^\dagger U(\omega) = 1. \quad (26)$$

The vacuum is a very special one, as it induces the breakdown

$$A_4 \rightarrow Z_3 \cong C_3 = \{1, c, a\}, \quad (27)$$

where  $\cong$  denotes “isomorphism”.

Now to one of our main points: It is quite possible that the reason why the observed CKM matrix is nearly the identity is the hierarchical breaking

$$A_4 \rightarrow C_3 \rightarrow \text{nothing}, \quad (28)$$

with the small mixing angles generated by higher-order effects after the relatively weak subsequent breaking of the residual  $C_3$ .

## Neutrino Sector

The neutrino Dirac mass matrix is different from that of the charged-leptons, being derived from the Yukawa term  $\bar{\ell}_L \nu_R \phi$ .

The Dirac mass matrix is proportional to the  $3 \times 3$  identity matrix,

$$M_\nu^D = \lambda_\nu v_\phi 1 \equiv m_\nu^D 1, \quad (29)$$

The right-handed Majorana Mass term:

- The right-handed neutrino bare Majorana mass term is similarly trivial, being  $M$  times the identity.
- The required non-trivial structure is supplied by the Yukawa coupling to  $\chi$ , which expanded out is

$$\lambda_\chi \left( \bar{\nu}_{1R}, \bar{\nu}_{2R}, \bar{\nu}_{3R} \right) \begin{pmatrix} 0 & \chi_3 & \chi_2 \\ \chi_3 & 0 & \chi_1 \\ \chi_2 & \chi_1 & 0 \end{pmatrix} \begin{pmatrix} (\nu_{1R})^c \\ (\nu_{2R})^c \\ (\nu_{3R})^c \end{pmatrix}. \quad (30)$$

We now make our second key assumption about  $A_4$  breaking: we want

$$\langle \chi_1 \rangle = \langle \chi_3 \rangle = 0, \quad \langle \chi_2 \rangle \equiv v_\chi \neq 0, \quad (31)$$

so that the full  $6 \times 6$  neutrino mass matrix is

$$\begin{pmatrix} 0 & 0 & 0 & m_\nu^D & 0 & 0 \\ 0 & 0 & 0 & 0 & m_\nu^D & 0 \\ 0 & 0 & 0 & 0 & 0 & m_\nu^D \\ m_\nu^D & 0 & 0 & M & 0 & M_\chi \\ 0 & m_\nu^D & 0 & 0 & M & 0 \\ 0 & 0 & m_\nu^D & M_\chi & 0 & M \end{pmatrix}, \quad (32)$$

where  $M_\chi \equiv \lambda_\chi v_\chi$ .

Note that  $M$  and  $M_\chi$  are in general complex numbers with a relative phase difference.

In the see-saw limit  $|M|, |M_\chi| \gg m_\nu^D$ , the effective  $3 \times 3$  mass matrix  $M_L$ :

$$M_L = -M_\nu^D M_R^{-1} (M_\nu^D)^T = -\frac{(m_\nu^D)^2}{M} \begin{pmatrix} \frac{M^2}{M^2 - M_\chi^2} & 0 & -\frac{MM_\chi}{M^2 - M_\chi^2} \\ 0 & 1 & 0 \\ -\frac{MM_\chi}{M^2 - M_\chi^2} & 0 & \frac{M^2}{M^2 - M_\chi^2} \end{pmatrix}, \quad (33)$$

The diagonalisation matrix is simply

$$V_L^\nu = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & -1 \\ 0 & \sqrt{2} & 0 \\ 1 & 0 & 1 \end{pmatrix}. \quad (34)$$

The MNSP matrix, at this order, is then

$$V_{MNSP} = V_L^{e\dagger} V_L^\nu = U(\omega)^\dagger V_L^\nu = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{\omega}{\sqrt{6}} & \frac{\omega}{\sqrt{3}} & -\frac{e^{i\pi/6}}{\sqrt{2}} \\ -\frac{\omega^2}{\sqrt{6}} & \frac{\omega^2}{\sqrt{3}} & \frac{e^{-i\pi/6}}{\sqrt{2}} \end{pmatrix} \quad (35)$$

which, up to phases, is tribimaximal and hence fits the neutrino oscillation data well. In the neutrino sector, the flavour breaking pattern driven by  $\langle \chi \rangle$  is

$$A_4 \rightarrow Z_2 = \{1, r_2\}. \quad (36)$$

Comment:  $Z_2$  subgroup does not commute with the  $C_3$  subgroup of the charged-fermion sector. The neutrino and charged-fermion sectors form “parallel worlds” of flavour symmetry breaking. These parallel symmetry breaking worlds cannot be sequestered from each other completely, of course. For the theory as a whole,  $A_4$  is completely broken.

## Corrections after flavour symmetry breaking

After flavour symmetry breaking, higher-order and radiative effects will in general create terms that violate  $A_4$ .

We will divide these higher-order effects into two classes:

those that involve effects *within each sector*,  $\rightarrow$  preserve  $C_3$  and  $Z_2$ , respectively,

and those that involve *interactions between the two sectors*  $\rightarrow$  violate  $A_4$  completely.

## Corrections within the charged fermion sector

After  $A_4$  spontaneously breaks to  $C_3$  in this sector, higher-order effects will generate Yukawa terms that violate  $A_4$  but respect  $C_3$ .

Under  $C_3 = \{1, c, a\}$ , the triplets  $Q_L$ ,  $\ell_L$  and  $\Phi$  transform as per

$$c : (1, 2, 3) \rightarrow (3, 1, 2) \quad \text{and} \quad a : (1, 2, 3) \rightarrow (2, 3, 1), \quad (37)$$

The  $A_4$  singlets  $f_R$  become  $C_3$  singlets ( $f = u, d, e$  as before), while the non-trivial one-dimensional  $A_4$ -plets  $f'_R$  and  $f''_R$  transform thus:

$$f'_R \begin{cases} \xrightarrow{c} \omega f'_R \\ \xrightarrow{a} \omega^2 f'_R \end{cases} \quad \text{and} \quad f''_R \begin{cases} \xrightarrow{c} \omega^2 f''_R \\ \xrightarrow{a} \omega f''_R \end{cases} \quad (38)$$

The previously allowed  $A_4$  invariant  $(\bar{f}_{1L}\Phi_1^0 + \bar{f}_{2L}\Phi_2^0 + \bar{f}_{3L}\Phi_3^0) f_R$  (where the  $\Phi_i^0$  generically denote the charge-neutral fields within  $\Phi$  and  $\tilde{\Phi}$ ) is now supplemented with the following terms that violate  $A_4$  but respect  $C_3$ :

$$\begin{aligned} & (\bar{f}_{1L}\Phi_2^0 + \bar{f}_{2L}\Phi_3^0 + \bar{f}_{3L}\Phi_1^0) f_R \\ & (\bar{f}_{1L}\Phi_3^0 + \bar{f}_{2L}\Phi_1^0 + \bar{f}_{3L}\Phi_2^0) f_R. \end{aligned} \quad (39)$$

Similarly, the  $A_4$  invariants  $(\bar{f}_{1L}\Phi_1^0 + \omega\bar{f}_{2L}\Phi_2^0 + \omega^2\bar{f}_{3L}\Phi_3^0) f''_R$  and  $(\bar{f}_{1L}\Phi_1^0 + \omega^2\bar{f}_{2L}\Phi_2^0 + \omega\bar{f}_{3L}\Phi_3^0) f'_R$  are joined by

$$\begin{aligned} & (\bar{f}_{1L}\Phi_2^0 + \omega\bar{f}_{2L}\Phi_3^0 + \omega^2\bar{f}_{3L}\Phi_1^0) f''_R \\ & (\bar{f}_{1L}\Phi_3^0 + \omega\bar{f}_{2L}\Phi_1^0 + \omega^2\bar{f}_{3L}\Phi_2^0) f''_R \end{aligned}$$

$$\begin{aligned}
& (\bar{f}_{1L}\Phi_2^0 + \omega^2\bar{f}_{2L}\Phi_3^0 + \omega\bar{f}_{3L}\Phi_1^0) f'_R \\
& (\bar{f}_{1L}\Phi_3^0 + \omega^2\bar{f}_{2L}\Phi_1^0 + \omega\bar{f}_{3L}\Phi_2^0) f'_R.
\end{aligned} \tag{40}$$

Each of the new terms comes, generically, with a different coupling constant.

It is interesting, though, that despite all these new Yukawa terms, the mass matrices retain the form of Eq. 24 once the  $C_3$ -preserving VEV pattern  $\langle\Phi_1^0\rangle = \langle\Phi_2^0\rangle = \langle\Phi_3^0\rangle \equiv v$  is used. This means that the left-diagonalisation matrices for the  $u$ ,  $d$  and  $e$  sectors remain trimaximal, and hence the CKM matrix remains trivial.

It is the  $C_3$  subgroup of  $A_4$  that is responsible for preventing quark mixing. The origin of CKM mixing must then arise from  $C_3$  breaking.

## Corrections within the neutrino sector

It is easy to see that the minus sign associated with the unbroken  $Z_2$  transformations keeps the (1, 2), (2, 1), (2, 3) and (3, 2) entries of both the neutrino Dirac and right-handed Majorana mass matrices zero.

However, these two matrices need not be proportional to the identity any longer, after  $A_4$  symmetry breaking.

$$M_\nu^D = \lambda_\nu \langle \phi \rangle \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \Big|_{\text{bare}} + \left( \begin{array}{ccc} \epsilon_{11} & 0 & \epsilon_{13} \\ 0 & \epsilon_{22} & 0 \\ \epsilon_{31} & 0 & \epsilon_{33} \end{array} \right) \Big|_{\text{h.o.}} \quad (41)$$

and the bare right-handed Majorana mass matrix is

$$M \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \Big|_{\text{bare}} + \left( \begin{array}{ccc} \epsilon'_{11} & 0 & \epsilon'_{13} \\ 0 & \epsilon'_{22} & 0 \\ \epsilon'_{31} & 0 & \epsilon'_{33} \end{array} \right) \Big|_{\text{h.o.}} \quad (42)$$

where subscript “h.o.” stands for “higher order”.

The  $\nu_R - \chi$  coupling terms also contain the independent  $Z_2$  invariants

$$\begin{aligned} \bar{\nu}_{2R}(\nu_{3R})^c \chi_{1,3}, \quad \bar{\nu}_{3R}(\nu_{1R})^c \chi_2, \quad \bar{\nu}_{1R}(\nu_{2R})^c \chi_{3,1}, \\ \bar{\nu}_{1R}(\nu_{1R})^c \chi_2, \quad \bar{\nu}_{2R}(\nu_{2R})^c \chi_2, \quad \bar{\nu}_{3R}(\nu_{3R})^c \chi_2. \end{aligned} \quad (43)$$

The new terms involve corrections to the  $(i, i)$  and  $(1, 3) = (3, 1)$  entries in the right-handed Majorana mass matrix.

In total then, the right-handed Majorana mass terms are

$$\left( \begin{array}{ccc} M & 0 & M_\chi \\ 0 & M & 0 \\ M_\chi & 0 & M \end{array} \right) \Big|_{\text{bare}} + \left( \begin{array}{ccc} \epsilon''_{11} & 0 & \epsilon''_{13} \\ 0 & \epsilon''_{22} & 0 \\ \epsilon''_{31} & 0 & \epsilon''_{33} \end{array} \right) \Big|_{\text{h.o.}} \quad (44)$$

It is obvious then that the effective  $M_L$  is additively corrected from Eq. 33 by

$$M_L \rightarrow M_L + \left( \begin{array}{ccc} \delta_{11} & 0 & \delta_{13} \\ 0 & \delta_{22} & 0 \\ \delta_{13} & 0 & \delta_{33} \end{array} \right) \Big|_{\text{h.o.}}, \quad (45)$$

where, in general, the  $\delta_{ij}$  are complex. The neutrino left-diagonalisation matrix is now corrected to

$$V_L^\nu = \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\beta} \end{array} \right) \left( \begin{array}{ccc} \cos \theta & 0 & -\sin \theta \\ 0 & 1 & 0 \\ \sin \theta & 0 & \cos \theta \end{array} \right) \left( \begin{array}{ccc} e^{i\alpha_1} & 0 & 0 \\ 0 & e^{i\alpha_2} & 0 \\ 0 & 0 & e^{i\alpha_3} \end{array} \right), \quad (46)$$

where

$$\theta = \frac{\pi}{4} + \delta \quad (47)$$

and  $\delta \ll 1$ .

The phases  $\alpha_i$  can be absorbed into the neutrino mass eigenstate fields, but the phase  $\beta$ ,

given by

$$\beta = \text{Arg}(M + \delta_{33}) - \text{Arg}(M + \delta_{11}), \quad (48)$$

is important because it will contribute to  $CP$  violation in neutrino oscillations.

The MNSP matrix becomes

$$V_{MNSP} = U(\omega)^\dagger V_L^\nu = \frac{1}{\sqrt{3}} \begin{pmatrix} c + se^{i\beta} & 1 & ce^{i\beta} - s \\ c + \omega se^{i\beta} & \omega^2 & \omega ce^{i\beta} - s \\ c + \omega^2 se^{i\beta} & \omega & \omega^2 ce^{i\beta} - s \end{pmatrix}, \quad (49)$$

where  $c \equiv \cos \theta$  and  $s \equiv \sin \theta$ .

**A nonzero  $U_{e3}$  element is generated, and there are other small deviations from exact tribimaximal mixing.**

$CP$  violation in neutrino oscillations is generated at this level. The Jarlskog invariant is

$$\text{Im}[V_{11} V_{12}^* V_{21}^* V_{22}] = \frac{1}{9} (\cos 2\theta - \sin 2\theta \sin \beta) \sin \left( \frac{2\pi}{3} \right) \quad (50)$$

where the  $V_{ij}$  denote the entries of  $V_{MNSP}$ .

## *Interactions between the sectors after FS breaking*

Neglecting interactions between the charged-fermion (plus  $\Phi$ ) sector and the neutrino (plus  $\chi$  and  $\phi$ ) sector,

- The neutrino-world  $A_4$  breaking is sufficient to generate realistic neutrino mass and mixing phenomenology while at the same time explaining why the dominant mixing pattern is tribimaximal.
- The charged-fermion world, however, has an interesting residual  $C_3$  symmetry that prevents the generation of quark mixing. This is pleasing at lowest order because of the known fact that CKM angles are small; clearly, however, the  $C_3$  subgroup must be slightly broken to achieve a fully realistic quark sector.

The full theory does in fact have broken  $C_3$ , as the neutrino sector does not respect it. This suggests that one should look to  $C_3$  breaking mediated to the quark sector from the neutrino/ $\chi$  sector as the natural source for quark mixing.

**The details of this breaking mechanism depends on the specific dynamics.**

The effective operators relevant for quark mixing are at dimension-five:

$$\begin{aligned} \bar{Q}_L u_R \Phi \chi, & \quad \bar{Q}_L u'_R \Phi \chi, & \quad \bar{Q}_L u''_R \Phi \chi \\ \bar{Q}_L d_R \tilde{\Phi} \chi, & \quad \bar{Q}_L d'_R \tilde{\Phi} \chi, & \quad \bar{Q}_L d''_R \tilde{\Phi} \chi, \end{aligned} \quad (51)$$

plus similar operators with  $\Phi \rightarrow \phi$ . The VEV of  $\chi$  communicates  $C_3$  breaking to the quarks through these operators. Each operator is suppressed either by a high mass scale  $M_{inter}$  that characterises the dynamical interactions between the two sectors (or a set of such scales), or by small coupling constants controlling those interactions.

**On the detail model study of an allowed renormalisable Higgs potentials, I want to leave it for you to read our paper: [hep-ph/0601001](https://arxiv.org/abs/hep-ph/0601001).**

## Conclusions

- We discussed an  $A_4$  flavour symmetry structure that fits very well with observed patterns of quarks and neutrino mixing, while leaving mass eigenvalues arbitrary.
- The required structure splits naturally into two sectors: the neutrino/ $\chi/\phi$  domain and the charged-fermion/ $\Phi$  domain. Different spontaneous flavour breaking patterns occur in these parallel worlds of symmetry breaking.
- The charged-fermion sector has  $A_4 \rightarrow C_3$ , while the neutrinos see  $A_4 \rightarrow Z_2$ . Radiative or higher-order effects *within* each sector that violate  $A_4$  but preserve the respective subgroup were examined.
- The  $C_3$  symmetry prevents the generation of quark mixing, while a small and interesting deviation from tribimaximal mixing is allowed by the  $Z_2$ . The latter includes a small but nonzero  $U_{e3}$  and has  $CP$  violation in neutrino oscillations.
- For quark mixing to be induced,  $C_3$  has to be broken. We explored the natural possibility that the neutrino sector communicates its  $C_3$  breaking to the quarks, and showed through an effective operator analysis that generically this does induce a realistic CKM matrix.
- Finding the most elegant possible underlying dynamics remains a goal for the future.
- We believe that the proposed flavour symmetry structure is a promising base from which to explore the fundamental origin of quark and lepton mixing angles.

## Basic $A_4$ properties:

The  $A_4$  group: **The discrete symmetry group of the rotations that leave a tetrahedron invariant, or the group of the even permutations of four objects.**

It has 12 elements and 4 inequivalent irreducible representations:  $\mathbf{1}$ ,  $\mathbf{1}'$ ,  $\mathbf{1}''$  and  $\mathbf{3}$ .

The twelve representation matrices for  $\mathbf{3}$  are conveniently taken to be the  $3 \times 3$  identity matrix  $1$ , the reflection matrices  $r_1 \equiv \text{diag}(1, -1, -1)$ ,  $r_2 \equiv \text{diag}(-1, 1, -1)$  and  $r_3 \equiv \text{diag}(-1, -1, 1)$ , the cyclic and anticyclic matrices

$$c = a^{-1} \equiv \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad \text{and} \quad a = c^{-1} \equiv \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad (52)$$

respectively, as well as  $r_i c r_i$  and  $r_i a r_i$ .

Under the group element corresponding to  $c(a)$ ,  $\mathbf{1}' \rightarrow \omega(\omega^2)\mathbf{1}'$  and  $\mathbf{1}'' \rightarrow \omega^2(\omega)\mathbf{1}''$ , where  $\omega = e^{i2\pi/3}$  is a complex cube-root of unity, with both being unchanged under the  $r_i$ .

The basic non-trivial tensor products are

$$\mathbf{3} \otimes \mathbf{3} = \mathbf{3}_s \oplus \mathbf{3}_a \oplus \mathbf{1} \oplus \mathbf{1}' \oplus \mathbf{1}'', \quad \text{and} \quad \mathbf{1}' \otimes \mathbf{1}' = \mathbf{1}'', \quad (53)$$

where  $s(a)$  denotes symmetric (antisymmetric) product.

Let  $(x_1, x_2, x_3)$  and  $(y_1, y_2, y_3)$  denote the basis vectors for two  $\underline{\mathbf{3}}$ 's. Then

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{3}}_s} = (x_2 y_3 + x_3 y_2, x_3 y_1 + x_1 y_3, x_1 y_2 + x_2 y_1), \quad (54)$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{3}}_a} = (x_2 y_3 - x_3 y_2, x_3 y_1 - x_1 y_3, x_1 y_2 - x_2 y_1), \quad (55)$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{1}}} = x_1 y_1 + x_2 y_2 + x_3 y_3, \quad (56)$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{1}}'} = x_1 y_1 + \omega x_2 y_2 + \omega^2 x_3 y_3, \quad (57)$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{1}}''} = x_1 y_1 + \omega^2 x_2 y_2 + \omega x_3 y_3, \quad (58)$$

in an obvious notation.

## Higgs Potential in Non-Susy case:

The  $G_{SM} \otimes A_4$ -invariant, renormalisable Higgs potential terms consistent with the discrete  $Z_2$  subgroup of  $U(1)_X$  are given by

$$\begin{aligned}
 V(\Phi) = & \mu_\Phi^2 (\Phi^\dagger \Phi)_{\underline{1}} + \lambda_1^\Phi (\Phi^\dagger \Phi)_{\underline{1}} (\Phi^\dagger \Phi)_{\underline{1}} + \lambda_2^\Phi (\Phi^\dagger \Phi)_{\underline{1}'} (\Phi^\dagger \Phi)_{\underline{1}''} \\
 & + \lambda_3^\Phi (\Phi^\dagger \Phi)_{\underline{3}_s} (\Phi^\dagger \Phi)_{\underline{3}_s} + \lambda_4^\Phi (\Phi^\dagger \Phi)_{\underline{3}_a} (\Phi^\dagger \Phi)_{\underline{3}_a} \\
 & + i\lambda_5^\Phi (\Phi^\dagger \Phi)_{\underline{3}_s} (\Phi^\dagger \Phi)_{\underline{3}_a}.
 \end{aligned} \tag{59}$$

$$\begin{aligned}
 V(\chi) = & \mu_\chi^2 (\chi\chi)_{\underline{1}} + \delta^\chi (\chi\chi\chi)_{\underline{1}} + \lambda_1^\chi (\chi\chi)_{\underline{1}} (\chi\chi)_{\underline{1}} + \lambda_2^\chi (\chi\chi)_{\underline{1}'} (\chi\chi)_{\underline{1}''} \\
 & + \lambda_3^\chi (\chi\chi)_{\underline{3}} (\chi\chi)_{\underline{3}}.
 \end{aligned} \tag{60}$$

$$V(\phi) = \mu_\phi^2 (\phi^\dagger \phi) + \lambda^\phi (\phi^\dagger \phi)^2 \tag{61}$$

$$\begin{aligned}
 V(\Phi, \chi) = & \delta_s^{\Phi\chi} (\Phi^\dagger \Phi)_{\underline{3}_s} \chi + i\delta_a^{\Phi\chi} (\Phi^\dagger \Phi)_{\underline{3}_a} \chi + \lambda_1^{\Phi\chi} (\Phi^\dagger \Phi)_{\underline{1}} (\chi\chi)_{\underline{1}} \\
 & + \lambda_2^{\Phi\chi} (\Phi^\dagger \Phi)_{\underline{1}'} (\chi\chi)_{\underline{1}''} + \lambda_2^{\Phi\chi*} (\Phi^\dagger \Phi)_{\underline{1}''} (\chi\chi)_{\underline{1}'} \\
 & + \lambda_3^{\Phi\chi} (\Phi^\dagger \Phi)_{\underline{3}_s} (\chi\chi)_{\underline{3}} + i\lambda_4^{\Phi\chi} (\Phi^\dagger \Phi)_{\underline{3}_a} (\chi\chi)_{\underline{3}}.
 \end{aligned} \tag{62}$$

$$\begin{aligned}
 V(\Phi, \phi) = & \lambda_1^{\Phi\phi} (\Phi^\dagger \Phi)_{\underline{1}} (\phi^\dagger \phi) + \lambda_2^{\Phi\phi} (\Phi^\dagger \phi) (\phi^\dagger \Phi) + \lambda_3^{\Phi\phi} (\Phi^\dagger \phi) (\Phi^\dagger \phi) \\
 & + \lambda_3^{\Phi\phi*} (\phi^\dagger \Phi) (\phi^\dagger \Phi).
 \end{aligned} \tag{63}$$

$$V(\phi, \chi) = \lambda^{\phi\chi} (\phi^\dagger \phi) (\chi\chi)_{\underline{1}}. \tag{64}$$

There is no renormalizable term simultaneously involving  $\Phi$ ,  $\phi$  and  $\chi$  allowed by the  $Z_2$  subgroup of  $U(1)_X$ , that is,  $V(\Phi, \chi, \phi) = 0$ .

The total potential is given by

$$V = V(\Phi) + V(\chi) + V(\phi) + V(\Phi, \chi) + V(\Phi, \phi) + V(\phi, \chi) + V(\Phi, \chi, \phi). \quad (65)$$

Total vacuum structure with the conditions:

$$\langle \Phi_1 \rangle = \langle \Phi_2 \rangle = \langle \Phi_3 \rangle = v \quad (66)$$

$$\langle \chi_1 \rangle = \langle \chi_3 \rangle = 0, \quad \langle \chi_2 \rangle = v_{\chi_2} \neq 0.$$

$$(68)$$

Taking the derivative of  $\langle V \rangle$  w.r.t.  $\chi_1$  and  $\chi_3$ , and taking into account the VEV structure, we obtain

$$\frac{\partial \langle V \rangle}{\partial \chi_1} \Big|_{VEV} = \frac{\partial \langle V \rangle}{\partial \chi_3} \Big|_{VEV} = 2\delta_s^{\Phi\chi} |v|^2 + 4\lambda_3^{\Phi\chi} |v|^2 v_{\chi_2} \quad (69)$$

Consider the derivatives w.r.t.  $v_j$ :

$$\frac{\partial \langle V \rangle}{\partial v_1} \Big|_{VEV} = \frac{\partial \langle V \rangle}{\partial v_3} \Big|_{VEV} = \frac{\partial \langle V(\Phi) + V(\Phi, \phi) \rangle}{\partial v_1} \Big|_{VEV} + \delta_s^{\Phi\chi} v v_{\chi_2} + (\lambda_1^{\Phi, \chi} - \lambda_2^{\Phi\chi}) v v_{\chi_2}^2 \quad (70)$$

On the other hand,

$$\frac{\partial \langle V \rangle}{\partial v_2} \Big|_{VEV} = \frac{\partial \langle V(\Phi) + V(\Phi, \phi) \rangle}{\partial v_1} \Big|_{VEV} + (\lambda_1^{\Phi, \chi} + 2 \lambda_2^{\Phi \chi}) v v_{\chi 2}^2 \quad (71)$$

From above relations, we have an extremum:

$$v_{\chi 2} = -\frac{\delta_s \Phi \chi}{2 \lambda_3^{\Phi \chi}} = \frac{\delta_s \Phi \chi}{2 \lambda_2^{\Phi \chi}}, \quad (72)$$

and we are lead to

$$3 \lambda_2^{\Phi \chi} = -2 \lambda_3^{\Phi \chi} \quad (73)$$

which is not obtained from the symmetry.

## A Supersymmetric dynamical completion:

A model with  $A_4 \otimes Z_{12} \otimes Z_2$  The chiral superfield content is

$$\begin{aligned}
 Q_L &\sim (\underline{\mathbf{3}}, 1, -1), & u_R^c, d_R^c &\sim (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'', \omega_{12}^7, -1), \\
 \ell_L &\sim (\underline{\mathbf{3}}, 1, -1), & e_R^c &\sim (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'', \omega_{12}^7, -1), & \nu_R &\sim (\underline{\mathbf{3}}, \omega_{12}^4, -1), \\
 \Phi_{u,d} &\sim (\underline{\mathbf{3}}, \omega_{12}^5, 1), & \phi_u &\sim (\underline{\mathbf{1}}, \omega_{12}^8, 1), & \phi_d &\sim (1, \omega_{12}^4, 1) \\
 \chi &\sim (\underline{\mathbf{3}}, \omega_{12}^4, 1), & \chi' &\sim (\underline{\mathbf{3}}, \omega_{12}^2, 1), \\
 s &\sim (\underline{\mathbf{1}}, \omega_{12}^4, 1), & s' &\sim (\underline{\mathbf{1}}, \omega_{12}^2, 1), & s'' &\sim (\underline{\mathbf{1}}, \omega_{12}^8, 1),
 \end{aligned} \tag{74}$$

where  $\omega_{12}^{12} = 1$ .

The  $Z_{12}$  charges are chosen in such a way that, first, communication between  $\chi$  and  $\Phi_{u,d}$  is forbidden, so as to avoid the troublesome terms in  $V(\Phi, \chi)$ , but, second, the  $\chi^3$  and  $\Phi_u \Phi_d \chi'$  terms are allowed so that the desired VEV alignment can be enforced.

The  $Z_2$  charge disallows terms of the type  $\nu_R^c \chi^2$  which can cause the fermion partner of  $\chi$  to mix with neutrinos and therefore destroy the pattern of the neutrino mass matrix.

With the above, we have

$$\begin{aligned}
 \ell L &= Q_L \Phi_u U_R^c + Q_L \Phi_d D_R^c \\
 &\quad + L_L \phi_u \nu_R^c + L_L \phi_d E_R^c
 \end{aligned} \tag{75}$$

$$+ \nu_R^c \nu_R^c s + \nu_R^c \nu_R^c \chi \tag{76}$$

$\nu_R^c \nu_R^c s$  generates universal Majorana masses once  $\langle s \rangle = v_s \neq 0$ .

The superpotential for the Higgs multiplets is

$$\begin{aligned}
 W = & a_1 \chi^3 + a_2 \chi^2 s + a_3 s^3 + a_4 \phi_u \phi_d + a_5 (\Phi_u \Phi_d)_{3s} \chi' + a_6 (\Phi_u \Phi_d)_{3a} \chi' \\
 & + a_7 \Phi_u \Phi_d s' + a_8 \chi'^2 s'' + a_9 s s'' + a_{10} s'^2 s'' + a_{11} s''^3.
 \end{aligned} \tag{77}$$

From this structure, it is evident that all supersymmetric  $V(\Phi, \chi)$  terms are absent from the  $F$ -term contributions, while the  $D$ -term contributions are also safe because, of course, they cannot involve the gauge singlet  $\chi$ .

The D-term potential is:

$$\begin{aligned}
 V_D = & \frac{g_2^2}{8} [2\{(\Phi_u^{\alpha\dagger} \Phi_u^\beta)_1 + (\Phi_d^{\alpha\dagger} \Phi_d^\beta)_1 + \phi_u^{\alpha\dagger} \phi_u^\beta + \phi_d^{\alpha\dagger} \phi_d^\beta\}^2 \\
 & - \{(\Phi_u^\dagger \Phi_u)_1 + (\Phi_d^\dagger \Phi_d)_1 + \phi_u^\dagger \phi_u + \phi_d^\dagger \phi_d\}^2] \\
 & + \frac{g_1^2}{8} [(\Phi_u^\dagger \Phi_u)_1 - (\Phi_d^\dagger \Phi_d)_1 + \phi_u^\dagger \phi_u - \phi_d^\dagger \phi_d]^2
 \end{aligned} \tag{78}$$

where  $\alpha$  and  $\beta$  sum over the SU(2) index.

In the supersymmetric limit, the desired VEV structure cannot be obtained, but supersymmetry has to be broken in any case. To this end, we follow the usual soft supersymmetry breaking approach by adding to the potential all soft-breaking terms that preserve  $A_4 \otimes Z_{12} \otimes Z_2$ . These terms are given by

$$\begin{aligned}
V_{soft} = & b_1 \chi^3 + b_2 \chi^2 s + b_3 s^3 + b_4 \phi_u \phi_d + b_5 (\Phi_u \Phi_d)_{3s} \chi' + b_6 (\Phi_u \Phi_d)_{3a} \chi' \\
& + b_7 \Phi_u \Phi_d s' + b_8 \chi'^2 s'' + b_9 s s'' + b_{10} s'^2 s'' + b_{11} s''^3 + H.C. \\
& + c_1 \chi^\dagger \chi + c_2 s^\dagger s + c_3 s'^\dagger s' + c_4 s''^\dagger s'' + c_5 \phi_u^\dagger \phi_u + c_6 \phi_d^\dagger \phi_d + c_7 \chi'^\dagger \chi' \\
& + c_8 \Phi_u^\dagger \Phi_u + c_9 \Phi_d^\dagger \Phi_d.
\end{aligned} \tag{79}$$

The total potential is given by

$$V_{total} = V_{susy} + V_D + V_{soft} \tag{80}$$

We have checked that the total Higgs potential resulting from above admits the required forms for the VEVs as extrema for the case where all the Higgs potential parameters are real. Terms from  $a_{1,2}$ ,  $b_{1,2}$  and  $c_1$  allow two solutions for the VEV pattern of  $\chi$ , namely, that all component VEVs are equal or that only one of the them is nonzero. The latter is the desired one. Terms from  $a_{5,6,7,8}$ ,  $b_{5,6,7,8}$  and  $c_{7,8,9}$  force the component VEVs to be equal, if nonzero, in each of the fields  $\chi'$ ,  $\Phi_u$  and  $\Phi_d$ .